No. 17-IAY-12-1002/2014- 11976 Date: 24-7-17

From

Deoranjan Kumar Singh
Commissioner-cum-Secretary to Govt.

To

All Collectors
All PD, DRDAs
All B.D.Os.

Sub: Convergence of Indira Awaas Yojana with MGNREGS.


Madam/Sir,

In inviting a reference to the above cited subject and letters under reference, I am to say that the scope of implementation of IAY Scheme has been widened by Ministry of Rural Development, Govt. of India. As per guidelines of MoRD, there are three components under IAY i.e. Unit Cost/Assistance (Rs.70,000/- & Rs.75,000/-); Administrative Contingency (State/District) (0.5% and 3.5%) and Convergence component [Rs.10,000/- for IHHL and 90(ninetynine) Person days towards labour component of constructing IAY houses].

The component of Assistance (Rs.70,000/- & Rs.75,000/-) will be spent as per IAY Scheme guidelines and will be released to the beneficiary in three instalments as communicated vide this Department letter No.12732, dtd02.05.2013. Similarly, the 3.5% Administrative contingency for District/ Block will be spent on IEC activities (1%), Social Audit (1%) and the rest (1.5%) on other items as enumerated at Paragraph-3.6 of the IAY Scheme guidelines (copy enclosed).
So far as Convergence component is concerned, it will be made out of MGNREGS. A beneficiary can avail 90 unskilled Persondays stage-wise for construction of the IAY house. This benefit is also available to State Scheme i.e. Mo Kudia. This is over and above the unit cost fixed for IAY/Mo Kudia house. The detail guidelines of MoRD, GOI is enclosed for your kind reference. Further, construction of toilet under NBA/Convergence with MGNREGS has also been mandatory. For construction of IHHL in convergence with MGNREGS, detailed guideline has been issued vide letter No.285515000272012. dtd/30.10.2013 of Chief Secretary, Odisha (copy enclosed). Similarly, for convergence with NBA, detail instruction has also been issued vide this department letter No.14981, dtd.31.05.2013 and No.11429, dtd.16.07.2014 (copy enclosed).

This may be brought to the notice of all concerned and intimated to all PRI Members/Public through IEC materials. One sensitization meeting of BDOs, MGNREGS functionaries, Rural Sanitation functionaries may be organised at the District level/Block level immediately for wide dissemination of the instructions.

Yours faithfully,

Commissioner-cum-Secretary

Encl.- As above

CC: for kind information and necessary action to

1. PS to Hon’ble Minister, PR & Law.
2. All RDCs.
3. Director (Special Project/MGNREGS).
4. DD, MIS, Panchayati Raj Department.
5. Sri S.K. Samal, IT Specialist, Panchayati Raj Department for webhosting.
LATEST AMENDMENTS

No.M-13011/06-2013-RH
Government of India
Ministry of Rural Development
Department of Rural Development

Krish Bhawan, New Delhi,

Principal Secretary/Secretary (RD) of All State Govts/
UT-Administration.

Subject: 4% Administrative expenses – upto 1% for IEC – regarding.

Sir,

Kind attention is invited to para 3.6 of the revised IAY Guidelines which relates to Administrative Expenses and eligible items of expenditure under administrative expenses.

2. In this regard, a decision has been taken by the Competent Authority that out of 4% of the funds released which are to be utilised as Administrative Expenses, upto 1% should be used for IEC activities. Under this the State should organise trainings for IAY beneficiaries before starting construction of house. The States should also enlist other activity areas such as training of local masons, training on maintenance practices technology demonstration, information on different design options for construction of houses etc.

3. Further it is also clarified that 4% of the funds released which are to be used as administrative expenses are shared in between Central and State in the same ratio as applicable to the main programme (75:25 – 90:10) and further division is between State and Districts in the ratio of 0.5% (State) and 3.5% (districts). The States can retain the 0.5% of the funds released as Administrative Expenses at the State level and release the balance to the districts.

4. The States may decide the formula and also the formula for distribution to the intermediate and Village Panchayat levels in accordance with workload assigned to them. The district allocation would include two parts, one part to meet fixed expenditure which would be same for all districts in a State and the other part proportional to the targets allotted to the districts. The eligible items of expenditure under administrative expenses are enlisted in para 3.6 of the IAY Guidelines 2013.

Yours faithfully,

[Signature]

(Arun Kumar Dhawan)
Deputy Secretary to the Govt. of India
Tele No. Tele No.23381272

Indira Awaas Yojana (IAY) Guidelines 50
No.J-11011/06/2013-RH
Government of India
Ministry of Rural Development
Department of Rural Development

Krishi Bhawan, New Delhi,
Dated: 12th December, 2013

Principal Secretary/Secretary (RD) of All State Govts/
UT Administration.

Subject: 4% Administrative expenses – upto 1% for Social Audit – regarding.

Sir/Madam,

Kind attention is invited to para 3.6 of the revised IAY Guidelines which relates
to Administrative Expenses and eligible items of expenditure under administrative
expenses.

2. In this regard, a decision has been taken by the Competent Authority that out of
4% of the funds released which are to be utilized as Administrative expenses, upto
1% could be used for Social Audit. The instructions for effective operationalisation
of Social Audit issued vide this Ministry’s letter No. J-11018/1/2013-RH, dated
27.8.2013 (copy enclosed) need to be scrupulously followed.

3. Further, it is also clarified that 4% of the funds released which are to be used as
administrative expenses are shared in between Centre and State in the same
ratio as applicable to the main programme (75:25 or 90:10) as the case may be
and further is between State and Districts in the ratio of 0.5%(State) and 3.5%
(districts). The States can retain the 0.5% of the funds released as Administrative
Expenses at the State level and release the balance to the districts.

4. The district allocation would include two parts, one part to meet fixed
expenditure which would be same for all districts in a State and the other part
proportional to the targets allotted to the districts. The States may decide the
formula and also the formula for distribution to the intermediate and Village
Panchayat levels in accordance with workload assigned to them. The eligible
items of expenditure under administrative expenses are enlisted in para 3.6 of the
IAY Guidelines, 2013.

Yours faithfully,

(Sunita Dhavale)
Under Secretary to the Govt. of India
Tele. No. 23381343

Copy to: NIC, Ministry of Rural Development for uploading on the web-site of the
Ministry.

Indira Awaas Yojana (IAY) Guidelines 52
GUIDELINES FOR CONSTRUCTION OF HOUSES
(SANCTIONED UNDER INDIRA AWAAS YOJANA OR SUCH OTHER STATE OR CENTRAL GOVERNMENT SCHEME)
Under MGNREGA

Ministry of Rural Development
Government of India

June, 2014
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**LIST OF ABBREVIATIONS**

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<thead>
<tr>
<th>Abbreviation</th>
<th>Full Form</th>
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<tbody>
<tr>
<td>IAY</td>
<td>Indira Awaas Yojana</td>
</tr>
<tr>
<td>AS/FS</td>
<td>Administrative / Financial Sanction</td>
</tr>
<tr>
<td>DPC</td>
<td>District Programme Coordinator</td>
</tr>
<tr>
<td>GOI</td>
<td>Government of India</td>
</tr>
<tr>
<td>GP</td>
<td>Gram Panchayat</td>
</tr>
<tr>
<td>GRS</td>
<td>Gram Rozgar Sahayak</td>
</tr>
<tr>
<td>Unique ID Number</td>
<td>Unique Identification Number</td>
</tr>
<tr>
<td>IHHL</td>
<td>Individual Household latrine</td>
</tr>
<tr>
<td>J.EN</td>
<td>Junior Engineer</td>
</tr>
<tr>
<td>MGNREGA</td>
<td>Mahatma Gandhi National Rural Employment Guarantee Act</td>
</tr>
<tr>
<td>MGNREGS</td>
<td>Mahatma Gandhi National Rural Employment Guarantee Scheme</td>
</tr>
<tr>
<td>MoRD</td>
<td>Ministry of Rural Development</td>
</tr>
<tr>
<td>MoDWS</td>
<td>Ministry of Drinking Water and Sanitation</td>
</tr>
<tr>
<td>PO</td>
<td>Programme Officer</td>
</tr>
<tr>
<td>Scheme</td>
<td>Scheme for construction of a weaker section house either under IAY or such other State or Central Govt programme.</td>
</tr>
<tr>
<td>SoR</td>
<td>Schedule of Rates</td>
</tr>
<tr>
<td>TA</td>
<td>Technical Assistant</td>
</tr>
<tr>
<td>TS</td>
<td>Technical Sanction</td>
</tr>
<tr>
<td>TSC</td>
<td>Total Sanitation Campaign</td>
</tr>
<tr>
<td>NBA</td>
<td>Nirmal Bharat Abhiyan</td>
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</table>
GUIDELINES FOR TAKING UP UNSKILLED WAGE COMPONENT UNDER MGNREGA IN CONSTRUCTION OF HOUSES SANCTIONED UNDER INDIRA AWAAS YOJANA OR SUCH OTHER STATE OR CENTRAL GOVERNMENT SCHEME

1. CONTEXT:

The Ministry of Rural Development has carried out amendment in Schedule – I, at Para 4 (1) II (iv), of MGNREGA, vide Notification Dated 3rd January, 2014 that “Unskilled wage component in construction of houses sanctioned under the Indira Awaas Yojana or such other State or Central Government Scheme” can be covered under MGNREGS in creation of individual assets for vulnerable sections (only for households in Paragraph 5 of Schedule-I; MGNREGA).

2. OBJECTIVE:

The objective is to compensate the beneficiary for the foregone wage employment and thereby monetise the hitherto unaccounted unskilled wage component of the construction of houses under IAY or such other State or Central Government Scheme (hereinafter referred to as ‘Scheme’) within the ambit of MGNREGA, so that the durable assets are built up under MGNREGS; and quality of the houses is improved, enhancing the quality of life of rural poor.

3. ACTIVITIES THAT CAN BE UNDERTAKEN UNDER MGNREGA:

Unskilled wage component of construction of new houses sanctioned under the scheme, provided that the assistance from MGNREGA for construction of the house shall be subject to the provisions in Para 7 below.

4. DESIGNS/SPECIFICATIONS:

(i) The layout, designs and specifications of the house should be as per the guidelines of the respective scheme.

(ii) The house shall be a pucca and durable structure, in construction of which, MGNREGS encourages use of local designs, use of locally available material, eco-friendly and labour intensive technologies.

5. NON-NEGOTIABLES IN WORK EXECUTION:

(i) The beneficiary shall have a job card and shall be from the categories specified in Paragraph 5 of the Schedule I of the Act.

(ii) The work shall be undertaken by the beneficiary herself/himself, unless the beneficiary is physically handicapped or of the age of more than 60 years, unable to do physical work herself/himself.
(iii) The selection of beneficiaries will be done as per IAY/scheme guidelines.
(iv) Wage payments will be paid only through banks/post office accounts unless exempted by MoRD.
(v) No contractor and labour displacing machinery will be deployed for construction of house.

6. PROCESSES IN PLANNING AND EXECUTION:

(i) After selection of the beneficiaries as per the Scheme guidelines, the list will be communicated to the Programme Officer (PO) for inclusion of the same in the shelf of works.
(ii) A combined AS/FS will be issued for all houses that are approved by Gram Sabha within one GP.
(iii) The individual house unit will be treated as a MGNREGA work for the purposes of
   (a) Giving a unique work ID
   (b) Entry in works & asset register
   (c) Social Audit by Gram Sabha
   (d) Evaluation by Vigilance and Monitoring Committee.
(iv) As and when the beneficiary is ready to take up construction, the PO, based on the requisition of the Gram Rozgar Sahayak (GRS), shall issue a muster roll for each Stage as defined in Para 7 below.
(v) The muster roll will be maintained by the GRS.
(vi) The Muster shall be closed at following stages of construction or at stages as decided by the State Government:
   (a) work up to plinth level,
   (b) From plinth level to lintel level,
   (c) From lintel level to roof level
   (d) From roof level to finishing i.e. completion of the work
(vii) On closure of the muster at each Stage, the Technical Assistant shall record and certify the satisfactory completion of the stage of house as mentioned above in the M-Book (details of measurement are not needed) and recommend the same for payment for the wage portion of the work.

7. MODE OF EXPENDITURE:

(i) The total number of unskilled person days required for construction of a house of at least 20 sq mts as per IAY guidelines is fixed at 95 person days for north-east and hilly region; and 90 person days for other areas. The wages for these person days in lieu of foregone wage employment by the beneficiaries can be paid under MGNREGA over and above the unit cost fixed for IAY/other housing scheme.
(ii) Each stage of the house shall be recorded as detailed in para 6 (vi) above, and the payment for unskilled wage shall be made as per the person days indicated in these guidelines.
(iii) Based on the model estimation of a house of 20 sq mts, the unskilled person days required at different stages of construction is as given below. However, States may
reallocate the given persondays between various stages as per the prevailing practice in the State:

<table>
<thead>
<tr>
<th>S. No.</th>
<th>STAGES OF CONSTRUCTION</th>
<th>% of total unskilled person days permitted under MGNREGS in completion of house</th>
<th>PERSON DAYS (Out of total 90 unskilled person days permitted in plain areas)</th>
<th>PERSON DAYS (Out of total 95 unskilled person days permitted in hilly, difficult, &amp; IAP distt.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Up to plinth level</td>
<td>31%</td>
<td>28</td>
<td>30</td>
</tr>
<tr>
<td>2.</td>
<td>From plinth level to lintel level</td>
<td>26%</td>
<td>24</td>
<td>25</td>
</tr>
<tr>
<td>3.</td>
<td>From lintel level to roof level</td>
<td>11%</td>
<td>10</td>
<td>10</td>
</tr>
<tr>
<td>4.</td>
<td>From roof level to finishing</td>
<td>32%</td>
<td>28</td>
<td>30</td>
</tr>
<tr>
<td><strong>Total unskilled person days permitted under MGNREGS in completion of house</strong></td>
<td><strong>90</strong></td>
<td><strong>90</strong></td>
<td><strong>95</strong></td>
<td></td>
</tr>
</tbody>
</table>

(iv) In construction of IHHL with house, the GP with the help of NBA team will ensure that all activities and supply of material are also planned and executed in such a way that there is continuity in execution and completion of IHHL work with completion of house construction work.

8. MONITORING AND REPORT:

(i) Progress of construction of the house in convergence of MGNREGS & housing scheme; and MGNREGS & NBA shall be the responsibility of the District Programme Coordinator (DPC) and the agency implementing IAY/other housing scheme and NBA as mentioned in the respective guidelines.

(ii) The house constructed under the scheme shall be subject to the social audit as laid down in the Audit of Scheme Rules 2011.

(iii) Details of the houses constructed with this provision and the expenditure incurred shall be entered in the NREGASoft.

(R. Subrahmanyanam)
Joint Secretary (MGNREGA)
To

All Collectors and Chief Executive Officers,
District Water and Sanitation Mission.

Sub:- Construction of Individual Household Latrines (IHHLs) in convergence with MGNREGA under Nirmal Bharat Abhiyan (NBA).

Madam/Sir,

As you know the effects of poor sanitation seeps into every aspect of life—Health, Nutrition, Development, Dignity and Empowerment. Improved sanitation is not prioritized in many households and the links between open defecation and common diseases including diarrhoea are not fully understood. Over 80% of all deaths due to diarrhoea among children under five years of age are directly attributable to poor sanitation, unsafe drinking water and unhygienic practices. So accelerating access to and use of toilets and hygiene practices to maintain the dignity of women have become a priority for India as well as Odisha.

2. In order to achieve the mission of Total Sanitation coverage by the year 2022, Government of India has designed a paradigm shift in Total Sanitation Campaign (TSC), a National Flagship Programme, which has been renamed as "Nirmal Bharat Abhiyan (NBA)" effective from 1st April, 2012 with renewed strategies and comprehensive approach. NBA envisages coverage of the entire Community for saturated outcomes with a view to creating Nirmal Gram Panchayats (NGP). The new guidelines includes:

- Enhanced incentive amount of Rs. 4,600/- (Central Share – Rs. 3,200/- + State Share – Rs. 1,400/-) to BPL & Identified APL families for construction and use of individual Household Latrine.
- Appropriate convergence with MGNREGA amounting to Rs. 4,500/- per IHHL in shape of labour and material.
- Provision for management of Solid & Liquid waste in proposed and existing NGP's.

3. Notwithstanding all these efforts, however, achievement under the scheme has not been satisfactory. The objective of convergence is to provide maximum benefit to the Households for promotion of collective sanitation and hygiene. But in the absence of proper convergence of NBA with MGNREGA (RD & PR Department), progress towards the goal of achieving Nirmal Bharat/Odisha is rather sluggish. Hence effective convergence between the PR and RD Department is the need of the hour.

In order to make the mission successful, Panchayati Raj Department will be responsible for technical engineering and Rural Development Department will look after social
engineering/mobilization aspects. The enclosed guidelines should be followed by all the field functionaries of PR and RD Department for achieving the goal of Nirmal Odisha.

5. It is expected that with your initiative, guidance and personal commitment the mission of making of the state free of open defecation would gather momentum.

Yours faithfully,

Chief Secretary,
Odisha

CC:

1. Principal Secretary to Govt., R.D. Department for information and necessary action.
2. Commissioner-cum-Secretary to Govt., Panchayati Raj Department for information and necessary action.
3. Chief Engineer-I/III, PWS&S for information and necessary action.
Guidelines for convergence of NBA with MGNREGA

1. Objective:
   i. Bring about an improvement in the general quality of life in the rural areas.
   
   ii. Accelerate sanitation coverage in the rural areas to achieve the vision of Nirmal Bharat by 2022 with all Gram Panchayats in the country attaining Nirmal status.
   
   iii. To create durable assets in rural areas and improve the infrastructure at village level.
   
   iv. Accelerate sanitation coverage in rural areas by providing privacy and dignity to women.

2. Activities covered:
   Of the activities permitted under the scheme, these orders pertain to construction of Individual Household Latrines (IHHL) as per instructions/guidelines of Nirmal Bharat Abhiyan (NBA) administrated by Ministry of Drinking Water and Sanitation (MDWS)

3. Designs/ Specification:
   i. The designs/specifications and model estimate be prepared and approved as per the district specification. If any change in the design is needed as per local conditions, the concerned District Water & Sanitation Mission (DWSM) will make a proposal and get it approved by the concerned District Collector.

   ii. Wherever the beneficiary chooses to make IHHL along with a bathroom, they can use the design/specifications of their own. However, payment from MGNREGA/NBA will be restricted to the provisions as mentioned in point no 6 and sub point XI of this guidelines.

4. Eligibility
   i. Households belonging to all BPL family and IAPL family i.e. all SCs/STs, small and marginal farmers, landless labourers with homestead, beneficiaries of IAY, beneficiaries under FRA having job cards are eligible for IHHL under MGNREGA and NBA. The beneficiary except physically handicapped and women headed households shall work on IHHL.

   ii. All houses constructed by the beneficiaries under Indira Awas Yojana or any other state housing scheme shall also be eligible for the incentive as above for creation of sanitation facilities under Nirmal Bharat Abhiyan (NBA).

   iii. The beneficiary who have availed the benefit of IHHL in any other Scheme or having IHHL already shall not be eligible. The eligibility will be as per the NBA guidelines communicated from time to time.

5. Planning and preparation of estimates
   i. The District Water and Sanitation Mission will communicate to Gram Panchayats/GPs the approved NBA plan for their respective GPs. The plan
shall contain construction of Individual Household Latrines (IHHLs) on a
saturation mode for the GP.

ii. The GPs will, following the approval of the Gram Sabhas, finalize the list of
individual eligible beneficiaries within the given numbers and will also
include this as part of approved shelf of projects under MGNREGA.

iii. The entire IHHL unit will be treated as a MGNREGA project for the
purpose of giving a unique Work ID, Entry in works & Asset registers,
Social Audit by Gram Sabha & Evaluation by Vigilance and Monitoring
Committee.

iv. A combined administrative sanction for the MGNREGA component & NBA
funds shall be accorded by the District Collector who is the DPC under the
MGNREGA Act and also the Chairman of DWSM.

6. **Technical Engineering by P.R. Department:**

i. IAY beneficiaries could also be given priority under IHHL to cover more
and more households.

ii. The concerned GP will put up a combined list of all eligible beneficiaries
from Village/GP desirous of constructing IHHLs prepared by DWSM (JE,
RWSS of concerned Block) in Palli Sabha/Gram Sabha for approval and
inclusion in shelf of projects.

iii. The concerned BDO and DPC will ensure approval of IHHLs in Annual
Action Plan and labour budget for the concerned financial year.

iv. The GP concerned will be the implementing agency.

v. After finalization of TS/FS, the GP will issue the work order to the
concerned beneficiaries within a stipulated time frame as prescribed under
MGNREGA.

vi. A mate may be deployed for every 15-25 IHHLs (depending upon the
Geographical spread of the IHHLs) proposed to be taken up in a Gram
Panchayat at one time for maintenance of Muster Roll for all the IHHLs
assigned to him/her

vii. The Mate will be responsible for the following-

- Maintain muster for all the IHHL's in the GP/Village/Locality
  assigned to him/her
- Record the attendance for skilled and unskilled labour.
- Ensure that the construction is, at least, as per the design specified by
  the DWSM and will certify to that effect.
- Upon completion of assigned IHHL to him/her, the mate will sign the
  muster roll for attendance and for the quantity of work done and hand
  it over to the Technical Assistant for further processing.
- IAY would then proceed to record the M-Book for all such units
On receipt of a request from the GP, the Programme Officer will issue required Muster Rolls.

Reputed NGOs having a proven track record, selected through a transparent process and authorized by the State Government can be allowed to undertake the implementation of IHHL as support agency of the GP after approval of the Government.

If any NGO undertakes the implementation of IHHL, the original muster rolls, measurement books and expenditure details etc. will be obtained from the concerned NGO and deposited in the concerned GP after completion of work and GP will maintain the records. The GP concerned will also be responsible for the data entry in respect of such musters, works and other required details. In such cases, MGNREGA non-negotiable related to transparency, disclosure and social audit etc. would continue to apply. NGOs will request the GP to have the muster roll issued from the PO. On receipt of such a request, the concerned GP will get the muster roll issued from the PO and pass them on to the concerned NGO for further action.

For construction of IHHL, Rs.4500/- per IHHL will be booked under MGNREGA and Rs.4600/- per IHHL will be booked under NBA/TSC and the balance fund will be borne by the beneficiary.

The funds under MGNREGS (Rs.4500/-) per IHHL will be spent for meeting the wage component of unskilled labour. The remaining portion of the fund may be utilized for skilled/semiskilled labour, the remuneration of mates and for material as required. The cost incurred in providing skilled labour, semi-skilled labour and mate will be booked under material component.

It will be ensured that the total material cost (including wages of skilled, semi-skilled and the mate) of all works including IHHL in a Gram Panchayat, in a financial year does not exceed 40%.

Remaining activities required to be carried out for construction of IHHL will be funded from programme under NBA/TSC or beneficiaries own contribution.

BDO will ask for fund requisition from DWSM @Rs.4600/- per IHHL.

BDO and Block JE/GPTA will ensure proper management of supply chain for the materials required for construction of IHHL.

GPTA/Block JE will supervise and monitor the construction of IHHL and see that the approved design and specification is maintained.

The measurement of IHHL will be made by GPTA/Block JE on completion of unit.

The payment of material purchased under MGNREGA and unskilled and skilled labour worked under MGNREGA will be made only after measurement is taken. Assessment of work made with respect to estimate task and duly entered in muster roll and Measurement Book by the HU/PTA.
7. **Social Engineering/ Mobilization by R.D. Department:**

i. A combined list of all eligible beneficiaries from Village/GP desirous of constructing IHHLs will be prepared by DWSM (JE, RWSS of concerned Block) and shall be made available to block by DWSM for approval in Palli Sabha and Gram Sabha and inclusion in shelf of projects.

ii. DWSM (JE, RWSS of concerned Block) will certify that the list of eligible beneficiaries is not assisted earlier under IHHL.

iii. DWSM will provide the standard estimate/designs/specifications for construction of IHHL to the Blocks.

iv. DWSM will ensure release of funds under NBA/TSC @ of Rs.4600/- per IHHL to the concerned Gram Panchayats for the numbers of IHHLs to be taken up by the GP.

v. JE, RWSS will render technical guidance at Block level for construction of IHHL.


vii. Village level meetings for community mobilization.

viii. Interpersonal Communication (IPC) at household level by DPC/DLCs, Swachhata doots/ASHA/AWW/NGO/Interns/BC/CC/SHGs/VWSC members to motivate people for construction and use of toilets.

ix. Intensive IEC activities to be conducted at State/District/Block/GP level before, during and after for toilet construction, its uses, proper maintenance and sustainability. The campaign may include - mass sensitization programmes/Group meetings/FGD, PRA exercise, rally, transmission of Water & Sanitation messages through Radio, TV, Print media, production of Documentary film, street play, movement of Parimala Ratha, IEC programme in schools etc.

x. Capacity building of different stakeholders and exposure visits to successful projects.

xi. OSWSM shall monitor and review the progress of construction of IHHL and IEC activities from time to time.

xii. DWSM shall develop a monitoring & reporting format on construction of IHHL and to be discussed in the monthly Review Meeting by the District Collectors.
<table>
<thead>
<tr>
<th>District Name</th>
<th>Target</th>
<th>Total</th>
<th>SC</th>
<th>ST</th>
<th>Total</th>
<th>SC</th>
<th>ST</th>
<th>Small &amp; Marginal Farmers</th>
<th>Landless Labourers</th>
<th>PH</th>
<th>Women Headed HHs</th>
<th>IHHL Total</th>
<th>SCW</th>
<th>School Toilets</th>
<th>AWC Toilets</th>
</tr>
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<td>ANGUL</td>
<td>40000</td>
<td>38</td>
<td>0</td>
<td>0</td>
<td>102</td>
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From

Deoranjan Kumar Singh
Commissioner-cum-Secretary to Govt.

To

All Collectors
All PD, DRDAs
All B.D.Os.

Sub: Convergence of IHHL/NBA with IAY and State Scheme of MoKudia.

Madam/Sir,

In inviting a reference to the Paragraph 6.8(i) of IAY Scheme Guidelines of 2013, I am to draw your attention to the fact that for all IAY houses, construction of toilet under Nirmal Bharat Abhiyan (NBA) is mandatory. So, while sanctioning IAY houses, simultaneously one toilet shall be sanctioned. As per Paragraph 4.12(iii) of the Scheme Guidelines, the 3rd Instalment should be given only after the house including Sanitary Latrine is constructed. All these data need to be uploaded in to AwaasSoft as MIS data is the source of data for MoRD.

The MIS data uploaded by the Districts/ Blocks in AwaasSoft till end of May, 2014 does not disclose the sanction of toilet either out of NBA or under convergence with MGNREGS.

A detailed guideline has also been circulated vide this Department letter No.14981, dtd.31.05.2013 (copy enclosed).

Non-sanction of toilet will result in serious Audit Objections and thereby field level officers may be put into difficult situation to comply with the audit objections.

P.T.O.
You are, therefore, requested to sanction toilets to each beneficiary (who has not availed earlier) immediately and enter the data into AwaasSoft for the beneficiaries of F.Y.2013-14. A coordination meeting of District Water and Sanitation Missions; PD, DRDA and B.D.Os. may be convened immediately and compliance to sanction of toilet may be confirmed within two weeks of time to this Department for onward transmission to Ministry of Rural Development, Govt. of India.

This may be treated as urgent.

Yours faithfully,

Commissioner cum-Secretary

Encl.: As above

CC: for kind information and necessary action to
1. The Principal Secretary to Govt. Rural Development Department
2. All RDCs.
3. Chief Engineer, RWSS, Bhubaneswar.
4. Director, MGNREGS.
5. DD, MIS, Panchayati Raj Department.
6. MoKudia Section, Panchayati Raj Department.
Government of Odisha
Panchayati Raj Department

No. 17-IAY-12-1020(13-14) 14981

From
Smt. A. Adhikari,
Under Secretary to Govt.

To
All Project Directors
District Rural Development Agency.

Sub: Devolution of funds under NBA (Nirmal Bharat Abhiyan) with IAY for construction of toilets—regarding convergence of schemes.

Madam/Sir,

With reference to the above noted subject, I am directed to enclose a copy of Government of India Letter No. F-11012/2/2013-PR (Vol-II), dated 16th May 2013 for necessary action at your end.

Yours faithfully,

[Signature]
Under Secretary to Govt.

CC for information and necessary action:
1. Deputy Director (MIS), PR Department
2. Deputy Director, (SIRD); Bhubaneswar.

[Signature]
To,

The Chief Secretary of All States/UTs
Principal Secretary/Secretary in-charge of RD/Housing, All States/UTs
Principal Secretary/Secretary in-charge of Rural Sanitation, All States/UTs

Subject: Dovetailing of funds under NBA with IAY for construction of toilets – regarding Convergence of Schemes

Sir,

Kindly refer to our earlier letter No. J-11012/2/2006-RH dated 18th May, 2011 (copy enclosed) regarding dovetailing of funds under Total Sanitation Campaign (TSC), now Nirmal Bharat Abhiyan (NBA) with Indira Awaas Yojana (IAY). Funds under NBA are available to all IAY beneficiaries for construction of toilets (as indicated in para 5.4.2 of NBA guidelines, copy enclosed). Government of India while consenting to enhancing cost of IAY houses has directed that the beneficiaries of IAY be accorded priority under Nirmal Bharat Abhiyan for construction of toilets, and it has therefore been decided that all beneficiaries under IAY be accorded priority under NBA for construction and use of toilets. It is also clarified that the NBA assistance will be available to all IAY beneficiaries on priority irrespective of whether or not they reside in the Gram Panchayats that may have been chosen by the State for conversion into Nirmal Gram Panchayats under the Saturation Approach.

2. To operationalize this decision, the following steps need to be taken:

(i) Once the target under Indira Awaas Yojana is communicated to the State Government, it should be made known to the agency in-charge of implementation of NBA at state level and the district levels that is State Water and Sanitation Mission (SWSM) & District Water and Sanitation Mission (DWSM).

(ii) Once the targets under IAY are finalized – District, Block and Village Panchayat wise, they would be communicated to the DWSM. The DWSM would then set apart funds (both Central share and State share) required for providing assistance as per NBA guidelines for the construction of toilets as per the targets finalised for construction of IAY houses in the district. The DWSM shall transfer the funds in respect of targeted IAY houses during the year after receipt of request from IAY Implementing Agency in two installments, the first installment being 50% of the required funds as per the target approved for toilets in IAY houses. The second installment will, however, be released on utilization of 60% of the available funds with the IAY implementing agencies and on submission of required UCs/ASAs as per NBA guidelines.

(iii) The State department in-charge of MGNREGS would also issue instructions to the Gram Panchayat(s) concerned on dovetailing MGNREGS funds with the NBA funds for JHHLs in accordance with guidelines issued by Department of Rural Development on 11th September, 2012.
3. The implementing agency of IAY would send regular progress reports and also render necessary accounts as prescribed by the ministry of Drinking Water and Sanitation from time to time.

4. In order to ensure that toilets are constructed along with the houses, it is mandatory that the IAY implementing agency should release the last installment of the IAY plus NBA funds to the IAY/NBA beneficiary only after the construction of the toilet is completed. The last installment shall not be less than the ceiling of NBA assistance indicated in para 5.4.2 of the NBA guidelines for construction and use of toilets.

5. The progress of the construction of toilets for IAY beneficiaries will be monitored online through the Monthly Progress Report for which suitable changes have been made for facilitating the online data entry by the States / Districts.

6. It is suggested that Secretaries, in every State, in-charge for implementation of IAY, NBA and MGNREGS should hold a joint meeting at the State level and work out detailed guidelines for convergence with special reference to targets, flow of funds and reporting. While States may make the changes needed in the local context in the procedure suggested above, it should be ensured that the IAY beneficiaries have to approach only one agency for IAY, NBA and MGNREGS funds and funds are available from IAY and NBA in installments and as per ceilings in the IAY/NBA guidelines before and during construction by the beneficiaries.

7. This supersedes any previous communication in respect of IAY/NBA that may be inconsistent with the directions contained in this letter.

(Vijaya Srivastava) (Saraswati Prasad)
Joint Secretary (Rural Housing) Joint Secretary (Sanitation)
Department of Rural Development Ministry of Drinking Water and Sanitation

(Rajesh Bhushan)
Joint Secretary (MGNREGA)
Department of Rural Development
J-11012/2/2006-RH
Government of India
Ministry of Rural Development

Krishi Bhavan, New Delhi
Dated 18th May, 2011

To

Principal Secretary/Secretary in charge of RD/Housing
All States/UTs
Principal Secretaries/Secretary in charge of Rural Sanitation
All States/UTs

Subject: Dovetailing of funds under TSC with IAY for construction of toilets-reg

Sir/Madam

As you may be aware, the Indira Awaas Yojana (IAY) guidelines updated up to May 2010 stipulate that there should be convergence with activities and funds provided under Total Sanitation Campaign (TSC) for providing sanitary latrines in the IAY houses.

The Department of Drinking Water and Sanitation Implements the Total Sanitation Campaign (TSC) under which BPL beneficiaries are provided an incentive of Rs 2200 (Rs 2700/- hilly and difficult areas) on construction of a sanitary latrine.

It has however been observed that the number of IAY beneficiaries availing incentives from the TSC for constructing toilets with the IAY house is extremely low. Instances have also come to notice where the authorities and beneficiaries are not aware that IAY beneficiaries are eligible for additional funds under the TSC for construction of a toilet along with the IAY house.

In view of the above, it is requested that the following instructions may be issued to all concerned officials to make the initiative of convergence more effective:

1. All IAY beneficiaries who are sanctioned a house will be sanctioned one toilet each under TSC if eligible, simultaneously and admissible incentives will be provided to the beneficiary from TSC for construction of same. The authority sanctioning of the house under IAY will be responsible for ensuring that in cases where there is no toilet, incentives on construction of a toilet by the IAY beneficiary under the TSC are simultaneously sanctioned.

2. The layout, location and technology of the sanitary latrines in IAY houses must be appropriately designed to ensure safe disposal of faecal waste preferably in leach pit toilet and as per the geophysical conditions of the region.

3. IEC material on TSC be included in IAY publicity material.

4. Joint Training programme must be organized for functionaries under TSC and IAY at State level, District, Block and Gram Panchayat level on provisions of both schemes.

Contd....
5. It may be noted that the clause for deduction of Rs. 800 from the IAY unit assistance in case a beneficiary fails to construct a toilet has been deleted from the IAY guidelines w.e.f Feb. 2006. Accordingly, it is reiterated that no deduction is to be made from the unit assistance for construction of houses. However, concentrated efforts should be made for awareness generation of the beneficiaries to ensure that they construct and use toilet while availing of the incentives amount provided under TSC.

Our concerted efforts should be to provide a durable and affordable dwelling unit with a clean and healthy living environment for the rural poor for which a toilet is a primary prerequisite that should be accorded utmost priority.

With regards,

(J.S. Mathur)
Joint Secretary (Sanitation)
Dept. of Drinking Water and Sanitation
Department of Rural Development

Yours faithfully

(Sanjay Kumar Rakesh)
Joint Secretary (Rural Housing)
Department of Rural Development

Cc: TSC Coordinator, All States/UTs
For convergence of Nirmal Bharat Abhiyan (NBA) with Indira Awaas Yojana (IAY), the following modifications in NBA guidelines have been made. The bold with underlined portion indicates addition to the existing guidelines. The bold portion relates to more specifically to IAY.

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<td>Para 5.4.2 &quot;5.4.2 The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Government s are allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA.&quot;</td>
<td>Para 5.4.2 &quot;The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Government s are allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA. Implementing agency for IAY in the District will provide the list of identified IAY houses to be constructed during the year so that it could be taken as part of the NBA target of the District in Annual Implementation Plan (AIP).&quot;</td>
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| Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid for Centre earmarked for specific project districts and disburse to the DWSMs. All projects shall have to be approved by State Scheme Sanctioning Committee (SSSC)." | Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid for Centre earmarked for specific project districts and disburse to the DWSMs. SWSM will ensure the convergence of NBA and IAY by including target of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets
<p>| The mission shall meet at least once in six months.” | of the project districts shall be reflected in the State AIP. All projects shall have to be approved by State Scheme Sanctioning Committee (SSSC). The mission shall meet at least once in three months.” |
| &quot;...............DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. &quot; | &quot;...............DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. SWSM will ensure the convergence of NBA and IAY by including targets of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets of the project districts shall be reflected in the State AIP” |
| Para 7.4 | Para 7.4 |
| Para 12.1 The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The API should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used “f) The AIP should also include a plan of activities with physical and financial estimates for the toilets to be constructed in IAY houses for the proposed financial year.” | The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The API should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used “f) The AIP should also include a plan of activities with physical and financial estimates for the toilets to be constructed in IAY houses for the proposed financial year.” |</p>
<table>
<thead>
<tr>
<th>Para 13.1.6 (additional para)</th>
<th>After receipt of funds from State / SWSM, DWSM shall transfer the amount (Center &amp; State Share) relating to construction of toilet in IAY houses as per the target fixed to Implementing agency for IAY. Implementing Agency for IAY will be responsible for submitting Utilization certificate (UCs) and Audited Statement of Accounts (ASAs) to DWSM so that a consolidated UCS and ASAs could be prepared by DWSM for submitting to State / SWSM. After receipt of completion certificate from IAY Implementing Agency, DWSM will enter the data in MIS.</th>
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<tr>
<td>Para 13.2.3 (additional para)</td>
<td>The District Implementing Agency shall transfer the funds in respect of targeted IAY houses during the year after receipt of request from IAY Implementing Agency in two installments, the first installment being 50% of the required funds as per the target approved for toilets in IAY houses. The second installment will, however, be released on utilization of 60% of the available funds with the IAY implementing agencies and on submission of required UCS/ASAs as per NBA guidelines.</td>
</tr>
<tr>
<td>Para 15.2 (additional para)</td>
<td>The &quot;INSPECTIONS&quot; Clause will also applicable in respect of toilets construction with IAY Houses.</td>
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